PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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Application Number	10/716,393
Filing Date November 17, 2003	
First Named Inventor	Quan Nguyen
Group Art Unit	1645
Examiner Name	Unassigned
Attorney Docket Number	70-000410US

Total Number of Pages in This Subm	Attorney Docket Number	70-00041005
	ENCLOSURES (check all that app	oly)
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Additional Enclosure(s) (please identify below):
Express Abandonment Request	Terminal Disclaimer	1449, cited references and receipt acknowledgment postcard
X Information Disclosure Statement	Small Entity Statement  Request for Refund	postcard
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Response to Missing Parts/ Incomplete Application	this paper or during the pendency of this appli for consideration of the documents enclosed.	
Response to Missing	Remarks	
Parts under 37 CFR 1.52 or 1.53		
SIGNATU	RE OF APPLICANT, ATTORNEY, OR	AGENT
Firm or Individual name	Quine, Reg. No. 41,261, Quine Intelle	ctual Property Law Group, P.C.
Signature	Al Quini	
Date December 7, 20		
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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By Juliana Hermes

Attorney Docket No. 70-000410US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Quan Nguyen, et al.

Application No.: 10/716,393

Filed: November 17, 2003

For: RNAI-BASED SENSORS, CAGED

INTERFERING RNAS, AND METHODS OF USE THEREOF

Confirmation No.

Examiner: Unassigned

Art Unit: 1645

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR § 1.97 and

§ 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Quan Nguyen, et al.

Application No.: 10/716,393

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Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jonathan Alan Quine, J.D., Ph.D., Reg. No. 41,261 For Monicia Elrod-Erickson, Reg. No. 51,651

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Date Submitted	December 7, 2004		

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Examiner Initials	Cite No.	U.S. Patent Doo Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appeal
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	11	2002/0182223	A1	LaCount et al.	12-05-2002	
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			Foreign Patent Docum	ent		Date of Publication	Pages, Columns, Lines,	
Examiner Initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	<b>↓</b> T
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	13	wo	2001/68836		Genetica, Inc.	09-20-2001		
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	16	wo	2003/040375		Mirus Corp.	05-15-2003		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	· · · · · ·
Examin er Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	17	<b>Agami</b> (2002) "RNAi and related mechanisms and their potential use for therapy" <u>Curr Opin</u> <u>Chem Biol</u> 6:829-834	

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Signature	Considered	

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PTO/SB/08A (04-03)

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	Examiner Name	Unassigned
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	Date Submitted	December 7, 2004

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Examiner	Da	ite
Signature	Co	onsidered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08A (04-03)
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Substitute for form 1449A-B/PTO	Complete if Known	
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INFORMATION DISCLOSURE	Filing Date	November 17, 2003
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